

Alex Benton, University of Cambridge - A.Benton@damtp.cam.ac.uk

## NURBS patches aren't the greatest

- NURBS patches are $n \times m$, forming a mesh of quadrilaterals.
- What if you wanted triangles or pentagons?
- A NURBS dodecahedron?

- What if you wanted vertices of valence other than four?
- NURBS expressions for triangular patches, and more, do exist; but they're cumbersome.


## Problems with NURBS patches

- Joining NURBS patches with $\mathrm{C}_{n}$ continuity across an edge is challenging.
- What happens to continuity at corners where the number of patches meeting isn't exactly four?
- Animation is tricky: bending and blending are doable, but not easy.


Sadly, the world isn't made up of shapes that can always be made from one smoothlydeformed rectangular surface.

## Subdivision surfaces

- Beyond shipbuilding: we want guaranteed continuity, without having to build everything out of rectangular patches.
- Applications include CAD/CAM, 3D
printing, museums and scanning, medicine, movies...
- The solution: subdivision surfaces.


Geri's Game, by Pixar (1997)

## Subdivision surfaces

- Instead of ticking a parameter $t$ along a parametric curve (or the parameters $u, v$ over a parametric grid), subdivision surfaces repeatedly refine from a coarse set of control points.
- Each step of refinement adds new faces and vertices.
- The process converges to a smooth limit surface.


## Subdivision surfaces - History

- de Rahm described a 2D (curve) subdivision scheme in 1947; rediscovered in 1974 by Chaikin
- Concept extended to 3D (surface) schemes by two separate groups during 1978:
- Doo and Sabin found a biquadratic surface
- Catmull and Clark found a bicubic surface
- Subsequent work in the 1980s (Loop, 1987; Dyn [Butterfly subdivision], 1990) led to tools suitable for CAD/CAM and animation


## Subdivision surfaces and the movies

- Pixar first demonstrated subdivision surfaces in 1997 with Geri's Game.
- Up until then they'd done everything in NURBS (Toy Story, A Bug's Life.)
- From 1999 onwards everything they did was with subdivision surfaces (Toy Story 2, Monsters Inc, Finding Nemo...)
- Two decades on, it's all heavily customized.
- It's not clear what Dreamworks uses, but they have recent patents on subdivision techniques.



## Useful terms

- A scheme which describes a 1D curve (even if that curve is travelling in 3D space, or higher) is called univariate, referring to the fact that the limit curve can be approximated by a polynomial in one variable $(t)$.
- A scheme which describes a 2D surface is called bivariate, the limit surface can be approximated by a $u, v$ parameterization.
- A scheme which retains and passes through its original control points is called an interpolating scheme.
- A scheme which moves away from its original control points, converging to a limit curve or surface nearby, is called an approximating scheme.


Control surface for Geri's head

## How it works

- Example: Chaikin curve subdivision (2D)
- On each edge, insert new control points at $1 / 4$ and $3 / 4$ between old vertices; delete the old points
- The limit curve is C1 everywhere (despite the poor figure.)



## Notation

Chaikin can be written programmatically as:

$$
\begin{aligned}
& P_{2 i}^{k+1}=(3 / 4) P_{i}^{k}+(1 / 4) P_{i+1}^{k} \leftarrow \text { Even } \\
& P_{2 i+1}^{k+1}=(1 / 4) P_{i}^{k}+(3 / 4) P_{i+1}^{k} \leftarrow \text { Odd }
\end{aligned}
$$

$\ldots$...where $k$ is the 'generation'; each generation will have twice as many control points as before.
Notice the different treatment of generating odd and even control points.
Borders (terminal points) are a special case.

## Notation

Chaikin can be written in vector notation as:

## Notation

- The standard notation compresses the scheme to a kernel: - $h=(1 / 4)[\ldots, 0,0,1,3,3,1,0,0, \ldots]$
- The kernel interlaces the odd and even rules.
- It also makes matrix analysis possible: eigenanalysis of the matrix form can be used to prove the continuity of the subdivision limit surface.
- The details of analysis are fascinating and beyond the scope of this course; check out Malcolm Sabin's lecture series, "Computer Aided Geometric Design", over at the CMS.
- The limit curve of Chaikin is a quadratic B-spline!


## Reading the kernel

Consider the kernel

$$
h=(1 / 8)[\ldots, 0,0,1,4,6,4,1,0,0, \ldots]
$$

You would read this as

$$
\begin{aligned}
& P_{2 i}^{k+1}=(1 / 8)\left(P_{i-1}^{k}+6 P_{i}^{k}+P_{i+1}^{k}\right) \\
& P_{2 i+1}^{k+1}=(1 / 8)\left(4 P_{i}^{k}+4 P_{i+1}^{k}\right)
\end{aligned}
$$



The limit curve is provably C2-continuous.

## Making the jump to 3D: Doo-Sabin

Doo-Sabin takes Chaikin to 3D:

$$
\begin{aligned}
P= & (9 / 16) A+ \\
& (3 / 16) B+ \\
& (3 / 16) C+ \\
& (1 / 16) D
\end{aligned}
$$

This replaces every old vertex with four new vertices.
The limit surface is biquadratic,


C 1 continuous everywhere.

## Doo-Sabin in action


(0) 18 faces

(2) 190 faces

(1) 54 faces

(3) 702 faces

## Catmull-Clark

- Catmull-Clark is a bivariate approximating scheme with kernel $h=(1 / 8)[1,4,6,4,1]$.
- Limit surface is bicubic, C2-continuous.



## Catmull-Clark

Getting tensor again:

## Catmull-Clark in action



## Catmull-Clark vs Doo-Sabin



Doo-Sabin


Catmull-Clark

## Extraordinary vertices

- Catmull-Clark and Doo-Sabin both operate on quadrilateral meshes.
- All faces have four boundary edges
- All vertices have four incident edges
- What happens when the mesh contains extraordinary vertices or faces?
- For many schemes, adaptive weights exist which can continue to guarantee at least some (non-zero) degree of continuity, but not always the best possible.
- CC replaces extraordinary faces with extraordinary vertices; DS replaces extraordinary vertices with extraordinary faces.


Detail of Doo-Sabin at cube corner

## Extraordinary vertices: Catmull-Clark

## Catmull-Clark vertex

 rules generalized for extraordinary vertices:- Original vertex:

$$
(4 n-7) / 4 n
$$

- Immediate neighbors in the one-ring:

$$
3 / 2 n^{2}
$$

- Interleaved neighbors in the one-ring:
$1 / 4 n^{2}$


Image source: "Next-Generation Rendering of Subdivision Surfaces", Ignacio Castaño, SIGGRAPH 2008

## Schemes for simplicial (triangular) meshes

- Loop scheme:



## Loop subdivision



Loop subdivision in action. The asymmetry is due to the choice of face diagonals.
Image by Matt Fisher, http://www.its.caltech.edu/~matthewf/Chatter/Subdivision.html

## Creases

## Extensions exist for most schemes to support creases, vertices and edges flagged for partial or hybrid subdivision.



Still from "Volume Enclosed by
Subdivision Surfaces with Sharp Creases" by Jan Hakenberg, Ulrich Reif, Scott
Schaefer, Joe Warren http://vixra.
org/pdf/1406. 0060v1.pdf

## Continuous level of detail

For live applications (e.g. games) can compute continuous level of detail, e.g. as a function of distance:


Level 5


Level 5.2


Level 5.8

## Direct evaluation of the limit surface

- In the 1999 paper Exact Evaluation Of CatmullClark Subdivision Surfaces at Arbitrary Parameter Values, Jos Stam (now at Alias| Wavefront) describes a method for finding the exact final positions of the CC limit surface.
- His method is based on calculating the tangent and normal vectors to the limit surface and then shifting the control points out to their final positions.
- What's particularly clever is that he gives exact evaluation at the extraordinary vertices. (Non-trivial.)


## Bounding boxes and convex hulls for subdivision surfaces

- The limit surface is (the weighted average of (the weighted averages of (the weighted averages of (repeat for eternity...)))) the original control points.
- This implies that for any scheme where all weights are positive and sum to one, the limit surface lies entirely within the convex hull of the original control points.
- For schemes with negative weights:
- Let $L=\max _{\mathrm{t}} \Sigma_{i}\left|N_{i}(t)\right|$ be the greatest sum throughout parameter space of the absolute values of the weights.
- For a scheme with negative weights, $L$ will exceed 1 .
- Then the limit surface must lie within the convex hull of the original control points, expanded unilaterally by a ratio of ( $L-1$ ).


## Splitting a subdivision surface

Many algorithms rely on subdividing a surface and examining the bounding boxes of smaller facets.

- Rendering, ray/surface intersections...

It's not enough just to delete half your control points: the limit surface will change (see right)

- Need to include all control points from the previous
 generation, which influence the limit surface in this smaller part.

(Top) 5 x Catmull-Clark subdivision of a cube
(Bottom) 5x Catmull-Clark subdivision of two halves of a cube; the limit surfaces are clearly different.


## Ray/surface intersection

- To intersect a ray with a subdivision surface, we recursively split and split again, discarding all portions of the surface whose bounding boxes / convex hulls do not lie on the line of the ray.
- Any subsection of the surface which is 'close enough' to flat is treated as planar and the ray/plane intersection test is used.
- This is essentially a binary tree search for the nearest point of intersection.
- You can optimize by sorting your list of subsurfaces in increasing order of distance from the origin of the ray.



## Rendering subdivision surfaces

- The algorithm to render any subdivision surface is exactly the same as for Bezier curves:
- "If the surface is simple enough, render it directly; otherwise split it and recurse."
- One fast test for "simple enough" is,
- "Is the convex hull of the limit surface sufficiently close to flat?"
- Caveat: splitting a surface and subdividing one half but not the other can lead to tears where the different resolutions meet. $\rightarrow$



## Rendering subdivision surfaces on the GPU

- Subdivision algorithms have been ported to the GPU, often using geometry shaders.
- This subdivision can be done completely independently of geometry, imposing no demands on the CPU.
- Uses a complex blend of precalculated weights and shader logic
- Impressive effects in use at id, Valve, etc!



## Subdivision Schemes-A partial list

- Approximating
- Quadrilateral
- (1/2)[1,2,1]
- ( $1 / 4$ )[1,3,3,1]
(Doo-Sabin)
- ( $1 / 8$ )[1,4,6,4,1]
(Catmull-Clark)
- Mid-Edge
- Triangles
- Loop
- Interpolating
- Quadrilateral
- Kobbelt
- Triangle
- Butterfly
- " $\sqrt{ } 3 "$ Subdivision

Many more exist, some much more complex
This is a major topic of ongoing research

## References

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